- 1 [Cover page summary statement.] The National Park Service will preserve and foster
- 2 appreciation of the cultural resources in its custody, and will demonstrate its respect for the
- 3 peoples traditionally associated with those resources, through appropriate programs of research,
- 4 planning, and stewardship.

5

Chapter 5: Cultural Resource Management

- 6 The National Park Service is the steward of many of America's most important cultural
- 7 resources. These resources are categorized as archeological resources, cultural landscapes,
- 8 ethnographic resources, historic and prehistoric structures, and museum collections. The
- 9 Service's cultural resource management program involves:
- Research to identify, evaluate, document, register, and establish basic information about cultural resources and traditionally associated peoples;
- Planning to ensure that management processes for making decisions and setting priorities integrate information about cultural resources, and provide for consultation and collaboration with outside entities; and
- Stewardship to ensure that cultural resources are preserved and protected, receive appropriate treatments (including maintenance) to achieve desired conditions, and are made available for public understanding and enjoyment.
- 18 The cultural resource management policies of the National Park Service are derived from a suite
- of historic preservation, environmental, and other laws, proclamations, Executive orders, and
- 20 regulations. A comprehensive list can be found in the Cultural Resource Management Handbook
- 21 issued pursuant to Director's Order #28. Taken collectively, they provide the Service with the
- 22 authority and responsibility for managing cultural resources in every unit of the national park
- 23 system so that those resources may be preserved unimpaired for future generations. Cultural
- 24 resource management will be carried out in a manner consistent with these legislative and
- 25 regulatory provisions, and with implementing policies and procedures such as the Secretary of
- 26 the Interior's Standards and Guidelines for Archeology and Historic Preservation (48 Federal
- 27 Register (FR) 44716- 740), and Standards and Guidelines for Federal Agency Historic
- 28 Preservation Programs Pursuant to the National Historic Preservation Act (63 FR 20497- 508).
- 29 Superintendents and appropriately qualified cultural resource professionals will work together to
- 30 carry out the Park Service's cultural resource management program. Other NPS staff and
- 31 volunteers participating in cultural resource research, planning, and stewardship activities will be
- 32 supervised by full- performance-level cultural resource professionals of the appropriate
- disciplines. Law enforcement professionals will consult with full-performance-level cultural
- resource professionals of the appropriate disciplines when investigating cultural resource crimes.
- 35 Superintendents and cultural resource professionals will ensure that research about and
- 36 stewardship of cultural resources are carried out only after adequate planning and consultation
- with interested or affected individuals, groups, and other outside entities.

- 1 (See Decision- making Requirements to Identify and Avoid Impairments 1.4.7. Also see NHPA
- 2 [16 USC 470h-4]; Secretary of the Interior's Professional Qualification Standards [48 FR
- 3 44738-44739]; Employee Training and Development Planning and Tracking Kit [1996])

4 5.1 Research

5 5.1.1 National Park Service Research

- 6 The National Park Service will conduct a vigorous interdisciplinary program of research into the
- 7 cultural resources of each park. The principal goals of such research will be to:
- 8 Ensure a systematic, adequate, and current information base representing the park's cultural
- 9 resources and traditionally associated peoples, in support of planning, management, and operations;
- Ensure appropriate protection, preservation, treatment, and interpretation of cultural resources, employing the best current scholarship:
- Develop approaches for managing park cultural and natural resources that ensure
- 14 consideration of the views held by traditionally associated peoples and others by emphasizing cooperative conservation and civic engagement;
- Collect data on subsistence and other consumptive uses of park resources in order to reach informed decisions; and
- Develop appropriate technologies and methods for monitoring, protecting, preserving, and treating cultural resources.
- 20 Adequate research to support informed planning and compliance with legal requirements will
- 21 precede any final decisions about the treatment of cultural resources, or about park operations,
- development, and natural resource management activities that might affect cultural resources.
- Research will be periodically updated to reflect changing issues, sources, and methods. Research
- 24 needs will be identified and justified in a park's approved resource stewardship strategy.
- 25 A written scope of work, research design, project agreement, proposal, or other description of
- work to be performed will be prepared and approved before any research is conducted. All
- archeological research, whether for inventory, data recovery, or other purposes, must comply
- 28 with the Archaeological Resources Protection Act of 1979 (ARPA), the Antiquities Act, and the
- Native American Graves Protection and Repatriation Act (NAGPRA), as applicable. The
- National Park Service will not take or allow any action that reduces the research potential of
- 31 cultural resources without first performing an appropriate level of research, consultation, and
- documentation. Because research involving physical intervention into cultural resources or the
- 33 removal of objects or specimens is a destructive process entailing an irretrievable commitment of
- 34 the resources, and often affecting traditional practices associated with the resources, research in
- parks will employ non-destructive methods to the maximum extent feasible.
- The features of sites, landscapes, and structures will be left in place unless impracticable. Field
- data, objects, specimens, and features of sites and structures retrieved for preservation during
- 38 cultural resource research and treatment projects, together with associated records and reports,

- will be managed within the park museum collection, stored in NPS or non-NPS repositories, as
- 2 appropriate, including repositories maintained by partners.
- 3 Research conducted by NPS personnel, contractors, and cooperative researchers will be
- 4 subjected to peer review both inside and outside the Service, to ensure that it meets professional
- 5 standards, reflects current scholarship, and adheres to the principles of conduct for the
- 6 appropriate discipline. The data and knowledge acquired through research will be recorded on
- 7 permanent and durable (long-lived) media, documented in the appropriate Service-wide
- 8 databases, and placed permanently in park museum and library collections and park files. This
- 9 information will be made widely available, and be incorporated, as appropriate, into park
- planning documents, exhibits, and interpretive programs. As appropriate, information will be
- shared with proper state and tribal historic preservation offices, other tribal offices, and certified
- local governments.
- 13 Certain research data may be withheld from public disclosure to protect sensitive or confidential
- information about archeological, historic, or other NPS resources when doing so would be
- 15 consistent with FOIA. In some circumstances, the NPS may withhold information about
- ethnographic resources. The Solicitor's Office should be consulted when there is any question
- about the legal authority to withhold information.
- 18 (See Levels of Park Planning 2.3; Studies and Collections 4.2; Confidentiality 5.2.3; Research
- 19 7.5.4; Native American Use 8.5. Also see 36 CFR Part 800; 43 CFR Parts 3, 7, and 10; NHPA;
- 20 Secretary of the Interior's Standards and Guidelines for Preservation Planning [48 FR 44716-
- 21 720]; Secretary of the Interior's Standards and Guidelines for Historical Documentation [48 FR
- 22 44728-730]; Director's Order #28: Cultural Resource Management; Cultural Resource
- 23 *Management Handbook 28)*

24 5.1.2 Independent Research

- 25 The National Park Service will promote relationships with individuals and organizations
- 26 qualified to perform research, and encourage them to direct their research toward park
- 27 management objectives and the broader contexts within which park resources exist. The Park
- 28 Service will encourage independent researchers to follow the Secretary of the Interior's standards
- and guidelines and those of the Park Service to the fullest extent possible, and will require that
- 30 the views of traditionally associated peoples be fully considered. Research done in cooperation
- with tribal governments, tribal colleges, and tribal organizations should include mutually agreed
- 32 upon conditions concerning the dissemination of data as well as consideration of the
- confidentiality of culturally sensitive information.
- Research that includes taking plants, fish, wildlife, rocks, or minerals must comply with the
- permit requirements of 36 CFR 2.5. Permits that would allow cultural resources to be physically
- disturbed, or allow objects or specimens to be collected, will be issued only when there is
- 37 compelling evidence that the proposed research is essential to significant research concerns, and
- that the purpose of the research can be reasonably achieved only by using park resources. As
- 39 appropriate, permits may require researchers to provide for the long-term preservation and
- 40 management of any recovered objects and specimens and for their cataloging, together with any

- 1 associated records, in the NPS museum cataloging system. Independent researchers will be
- 2 authorized to conduct archeological research on park lands only through the issuance of an
- 3 ARPA or Antiquities Act permit by the appropriate regional director. This permitting authority
- 4 cannot be further delegated. As appropriate, parks will also issue other necessary permits, such
- 5 as a special use permit. Archeological research conducted by independent researchers must
- 6 comply with NAGPRA, when applicable.
- 7 NPS facilities, collections, and assistance will be made available to qualified scholars conducting
- 8 NPS-authorized research, as long as park operations are not substantially impeded or park
- 9 resources adversely impacted thereby.
- 10 (See Independent Studies 4.2.2; Consultation 5.2.1; Natural and Cultural Studies, Research, and
- 11 Collection Activities 8.10. Also see 43 CFR Parts 3, 7, and 10)

12 5.1.3 Identification and Evaluation of Resources

- 13 The National Park Service will conduct surveys to identify and evaluate the cultural resources of
- each park, assessing resources within their larger cultural, chronological, and geographic
- 15 contexts. The resulting inventories will provide the substantive data required for (1) nominating
- resources to the National Register of Historic Places; (2) general park planning and specific
- proposals for preserving, protecting, conserving, and treating cultural resources to achieve
- desired conditions; (3) land acquisition, development, and maintenance activities; (4)
- interpretation, education, and natural and cultural resource management activities; and (5)
- 20 compliance with legal requirements.

21 **5.1.3.1** Inventories

- 22 The Park Service will (1) maintain and expand the following inventories (or their successors)
- about cultural resources in units of the national park system, (2) enter information into
- 24 appropriate related databases, and (3) develop an integrated information system:
- Archeological sites inventory for historic and prehistoric archeological resources and the related Archeological Sites Management Information System (ASMIS) database;
- Cultural Landscapes Inventory (CLI) of historic designed landscapes, historic vernacular landscapes, ethnographic landscapes, and historic sites;
- List of Classified Structures (LCS), encompassing historic and prehistoric structures; and
- National Catalog of Museum Objects, encompassing all cultural objects, archival and
- 31 manuscript materials, and natural history specimens in NPS collections and the related
- automated version, the Automated National Catalog System (ANCS+).
- 33 (See Levels of Park Planning 2.3; Confidentiality 5.2.3. Also see Secretary of the Interior's
- 34 Standards and Guidelines for Identification [48 FR 44720-723]; Director's Order #28: Cultural
- 35 Resources; Cultural Resource Management Handbook)

36 **5.1.3.2** Evaluation and Categorization

- 1 Cultural resources will be professionally evaluated and categorized to assist in management
- 2 decisions about their treatment and use. Cultural resources will be evaluated for significance
- 3 using National Register Criteria for Evaluation (36 CFR 60.4), and those meeting the criteria will
- 4 be nominated for listing. Museum collections are inappropriate for listing and will not be
- 5 evaluated using these criteria. Some collections in their original structures can be included as
- 6 contributing elements to a listed structure. As appropriate, cultural resources will be categorized
- 7 using other management categories established by the National Park Service and listed in the
- 8 Cultural Resource Management Handbook.
- 9 Cultural resource professionals will evaluate cultural resources in consultation with the
- 10 appropriate state and tribal historic preservation officers. Ethnographically meaningful cultural
- and natural resources, including traditional cultural properties, will be identified and evaluated in 11
- 12 consultation with peoples having traditional associations to park resources. Examples of
- 13 traditionally associated peoples include Acadians, African Americans, Hispanic Americans, and
- 14 Native Americans. Some ethnographically meaningful resources do not meet National Register
- 15 Criteria for Evaluation, but will be inventoried in consultation with traditionally associated
- 16 peoples and considered in management decisions about treatment and use.
- 17 (See Consultation 5.2.1. Also see Secretary of the Interior's Standards and Guidelines for
- 18 Evaluation [48 FR 44723- 726])

19 5.1.3.2.1 National Register Nomination

- 20 Park resources that appear to meet the criteria for the National Register of Historic Places will be
- 21 nominated—either individually, as components of historic districts, or within multiple property
- 22 nominations—for listing by the Keeper of the National Register. National historic sites, national
- 23 historical parks, and other parks significant primarily for their cultural resources are entered
- 24 automatically in the National Register upon establishment. However, nomination forms will be
- 25 prepared and submitted to document the qualifying and contributing features of such parks and
- 26 other National Register-eligible resources within them.
- 27 (Also see 36 CFR Parts 60 and 63; Secretary of the Interior's Standards and Guidelines for
- 28 Registration [48 FR 44726-728]; National Register Bulletins 16A and 16B [Guidelines for
- 29 Completing National Register of Historic Places Forms])

30 5.1.3.2.2 National Historic Landmark Designation

- 31 Historic and cultural units of the national park system are nationally significant by virtue of their
- 32 authorizing legislation or presidential proclamation. National historic landmark designations are
- 33 appropriate for park cultural resources that meet national historic landmark criteria if the national
- 34 significance of those resources is not adequately recognized in the park's authorizing legislation
- 35 or presidential proclamation. Cultural parks may warrant landmark designation as parts of larger
- 36 areas encompassing resources associated with their primary themes. Modified National Register
- 37
- forms will be prepared and submitted to nominate such resources for landmark designation by
- 38 the Secretary of the Interior.

1 (Also see 36 CFR Part 65)

2

5.1.3.2.3 Nominations for World Heritage List Designation

- Parks containing cultural features believed to possess "outstanding universal value to humanity"
- 4 may qualify for placement on the World Heritage List under criteria described in the World
- 5 Heritage Committee Operational Guidelines and in accordance with the World Heritage
- 6 Convention. Before they can be nominated, all such properties must be assessed according to
- World Heritage criteria, and before the United States can submit a nomination to the World
- 8 Heritage Committee, the site must first be included on the U.S. Tentative List of Potential Future
- 9 World Heritage Nominations.
- Any superintendent who believes that part or all of the park they manage should be considered
- for inscription on the World Heritage List must consult with the NPS Office of International
- Affairs, the NPS Director, and the Department of the Interior before proceeding. U.S.
- recommendations are approved by an interagency panel chaired by the Assistant Secretary for
- Fish and Wildlife and Parks, based on criteria promulgated by the World Heritage Committee.
- These criteria and the rules for U.S. participation in the Convention Concerning the World
- 16 Cultural and Natural Heritage are published in 36 CFR Part 73.
- Once an area is designated a World Heritage Site, the Service will recognize the designation in
- public information and interpretive programs. Where appropriate, superintendents should use a
- 19 park's World Heritage status as a platform to promote the park and encourage sustainable
- 20 tourism (tourism that does not adversely impact park resources and values) and the preservation
- of the world's natural and cultural heritage. Designation as a World Heritage Site will not alter
- the purposes for which a park was established, change management requirements, or reduce NPS
- 23 jurisdiction over parks.
- 24 (See World Heritage Sites 4.3.7. Also see 36 CFR Part 73)

25 **5.2 Planning**

- 26 Effective park stewardship requires informed decision- making about a park's cultural resources.
- 27 This is best accomplished through a comprehensive planning process. Effective planning is
- 28 based on an understanding of what a park's cultural resources are, and why those resources are
- 29 significant. To gain this understanding, the Service must obtain baseline data on the nature and
- 30 types of cultural resources, and their (1) distribution; (2) condition; (3) significance; and (4)
- 31 local, regional, and national contexts. Cultural resource planning, and the resource evaluation
- 32 process that is part of it, will include consultation with cultural resource professionals and
- 33 scholars having relevant expertise; traditionally associated peoples; and other groups and
- individuals. Current scholarship and needs for research are considered in this process, along with
- 35 the park's legislative history and other relevant information.
- 36 Superintendents will ensure full consideration of the park's cultural resources and values in all
- proposals for operations, development, and natural resource programs, including the
- 38 management of wilderness areas. When proposed undertakings may adversely affect national

- 1 historic sites, national battlefields, and other predominantly cultural units of the national park
- 2 system that were established in recognition of their national historical significance,
- 3 superintendents will provide opportunities for the same level of review and consideration by the
- 4 Advisory Council on Historic Preservation and the Secretary of the Interior that the Advisory
- 5 Council's regulations require for undertakings that may adversely affect national historic
- 6 landmarks (36 CFR 800.10).
- 7 (See Decision-making Requirements to Identify and Avoid Impairments 1.4.7; Strategic Planning
- 8 2.3.2; Implementation Planning 2.3.4. Also see Executive Order 13007; Secretary of the
- 9 Interior's Standards and Guidelines for Federal Agency Historic Preservation Programs
- 10 Pursuant to the National Historic Preservation Act [63 FR 20496- 508]; Secretary of the
- 11 Interior's Standards and Guidelines for Preservation Planning [48 FR 44716-720]; Secretary of
- 12 the Interior's Standards for the Treatment of Historic Properties)

5.2.1 Consultation

- 14 The National Park Service is committed to the open and meaningful exchange of knowledge and
- ideas to enhance (1) the public's understanding of park resources and values, and the policies and
- plans that affect them; and (2) the Service's ability to plan and manage the parks by learning
- from others. Open exchange requires that the Service seek and employ ways to reach out to, and
- consult with, all those who have an interest in the parks.
- 19 Each superintendent will consult with outside parties having an interest in the park's cultural
- 20 resources or in proposed NPS actions that might affect those resources, and provide them with
- 21 opportunities to learn about, and comment on, those resources and planned actions. Consultation
- 22 may be formal, as when it is required pursuant to NAGPRA or Section 106 of the NHPA, or it
- 23 may be informal when there is not a specific statutory requirement. Consultation will be initiated,
- as appropriate, with tribal, state, and local governments; state and tribal historic preservation
- officers; the Advisory Council on Historic Preservation; other interested federal agencies;
- traditionally associated peoples; present-day park neighbors; and other interested groups.
- 27 Consultations on proposed Park Service actions will take place as soon as practical, and in an
- appropriate forum that ensures, to the maximum extent possible, effective communication and
- 29 the identification of mutually acceptable alternatives. The Service will establish and maintain
- 30 continuing relationships with outside parties to facilitate future collaboration, formal
- 31 consultations, and the ongoing informal exchange of views and information on cultural resource
- 32 matters.
- 33 Since national parks embody resources and values of interest to a national audience, efforts to
- reach out and consult must be national in scope. However, the Service will be especially mindful
- of consulting with traditionally associated peoples—those whose cultural systems or ways of life
- have an association with park resources and values that pre-dates establishment of the park.
- 37 Traditionally associated peoples may include park neighbors, traditional residents, and former
- 38 residents who remain attached to the park area despite having relocated. Examples of
- 39 traditionally associated peoples include American Indians in the contiguous 48 states, Alaska

- 1 Natives, African Americans at Jean Lafitte, Asian Americans at Manzanar, and Hispanic
- 2 Americans at Tumacocori.
- 3 In particular, it is essential to consult traditionally associated peoples about:
- Proposed research on, and stewardship of, cultural and natural resources with ethnographic
 meaning for the groups;
- Development of park planning and interpretive documents that may affect resources
 traditionally associated with the groups;
- 8 Proposed research that entails collaborative study of the groups;
- Identification, treatment, use, and determination of affiliation of objects subject to NAGPRA;
- Repatriation of Native American cultural items or human remains based on requests by affiliated groups in accordance with NAGPRA;
- Planned excavations and proposed responses to inadvertent discoveries of cultural resources that may be culturally affiliated with the groups;
- Other proposed NPS actions that may affect the treatment and use of, and access to, cultural and natural resources with known or potential cultural meaning for the groups; and
- Designation of National Register, national historic landmark, and World Heritage Sites.
- 17 Consultation with federally recognized American Indian tribes will be on a government-to-
- 18 government basis. The Service will notify appropriate tribal authorities (such as tribal historic
- 19 preservation officers) about proposed actions when first conceived, and by subsequently
- 20 consulting their appointed representatives whenever proposed actions may affect tribal interests,
- 21 practices, and traditional resources (such as places of religious value).
- There are other groups and individuals with strong connections to the land through experiencing
- a significant life event within or near a park unit. Through its civic engagement activities, the
- 24 Service will be sensitive to and carefully consider the views of those who have these
- 25 associations.
- Whenever groups are created, controlled, or managed for the purpose of providing advice or
- 27 recommendations to the Service, the Service will first consult with the Office of the Solicitor to
- determine whether the Federal Advisory Committee Act (FACA) requires the chartering of an
- 29 advisory committee. Consultation with the Office of the Solicitor will not be necessary when the
- 30 Service meets with individuals, existing groups, or existing organizations simply to exchange
- views and information, or to solicit individual advice on proposed actions. FACA does not apply
- 32 to inter-governmental meetings held exclusively between NPS officials and elected officers of
- tribal governments (or their designated employees with authority to act on their behalf) acting in
- 34 their official capacities, when the meetings relate to intergovernmental responsibilities or
- 35 administration.
- 36 (See Civic Engagement 1.7; Ethnographic Resources 5.3.5.3. Also see ARPA; NAGPRA; NEPA;
- 37 NHPA [16 USC 470f]; 36 CFR Part 800; 40 CFR Parts 1500-1508; 41 CFR Part 101;, 43 CFR
- 38 Parts 7 and 10; Executive Memorandum on Government-to-Government Relations with Native
- 39 American Tribal Governments; Executive Order 13007; Executive Order 13175; 512

- 1 Department of the Interior Manual [DM] 2; Director's Order #71: Relationships with Indian
- 2 Tribes; NPS Guide to the Federal Advisory Committee Act)

3 **5.2.2 Agreements**

- 4 The National Park Service will seek to establish mutually beneficial agreements with interested
- 5 groups to facilitate collaborative research, consultation, park planning, training, and cooperative
- 6 management approaches with respect to park cultural resources and culturally important natural
- 7 resources. The goal of the NPS is to allow traditionally associated peoples to exercise traditional
- 8 cultural practices in parks to the extent allowable by law, and consistent with the criteria listed in
- 9 section 8.2. To the extent this goal can be legally reached through agreements, park
- superintendents should do so.
- Whenever parks have cultural resources that are owned or managed by others, agreements will
- clarify how the resources are to be managed. Agreements will provide ways for periodically
- reviewing their effectiveness, making mutually agreed-upon modifications, and avoiding and
- 14 resolving disagreements and disputes. All agreements will conform to the requirements of
- 15 Director's Order #20: Agreements.
- 16 (See Decision-making Requirements to Identify and Avoid Impairments 1.4.7; Partnerships 1.10;
- 17 Partnerships 4.1.4; Park Structures Owned or Managed by Others 5.3.5.4.8; Submerged
- Cultural Resources 5.3.5.1.6; Native American Use 8.5; Consumptive Uses 8.9. Also see
- 19 Executive Order 13007; 36 CFR 2.1)

20 **5.2.3 Confidentiality**

- 21 Sensitive or confidential information is sometimes acquired during consultations and during
- other research, planning, and stewardship activities. Under certain circumstances, and to the
- extent permitted by law, information about the specific location, character, nature, ownership, or
- 24 acquisition of cultural resources on park lands will be withheld from public disclosure. If a
- 25 question arises about withholding information, and disclosure could result in a significant
- 26 invasion of privacy or a risk of harm to a cultural resource, the Park Service will consult the
- 27 provisions of ARPA (16 USC 470hh); the National Parks Omnibus Management Act (16 USC
- 28 5937); and NHPA (16 USC 470w-3) before making a decision. Under some conditions, the
- 29 Service may be required by law to disclose confidential information acquired during
- 30 consultations, public meetings, and other research, planning, and stewardship activities, or in
- association with the acquisition of resources, including museum collections. Before these
- 32 activities occur, NPS staff and authorized researchers will make every effort to inform affected
- parties that, while the information they provide will not be shared voluntarily, confidentiality
- 34 cannot be guaranteed.
- To the extent permitted by law, the Service will withhold from public disclosure (1) information
- provided by individuals who wish the information to remain confidential, and (2) the identities of
- individuals who wish to remain anonymous and who are protected from release by exemption
- 38 under FOIA. In each instance, the Service will document its decision to disseminate or withhold
- 39 sensitive or confidential information from public disclosure.

- 1 More detailed guidance on sensitive and confidential information can be found in Director's
- 2 Order #66: FOIA and Protected Resource Information; and the *Museum Handbook*, Part III.
- 3 (See Managing Information 1.9.2; Natural Resource Information 4.1.2. Also see 43 CFR Part 2;
- 4 43 CFR 7.18; Privacy Act)

5 5.3 Stewardship

5.3.1 Protection and Preservation of Cultural Resources

- 7 The National Park Service will employ the most effective concepts, techniques, and equipment
- 8 to protect cultural resources against theft, fire, vandalism, overuse, deterioration, environmental
- 9 impacts, and other threats, without compromising the integrity of the resources.

10 **5.3.1.1** Emergency Management

- Measures to protect or rescue cultural resources in the event of an emergency, disaster, or fire
- will be developed as part of a park's emergency operations and fire management planning
- processes. Designated personnel will be trained to respond to all emergencies in a manner that
- maximizes visitor and employee safety and the protection of resources and property.
- 15 (See Emergency Preparedness and Emergency Operations 8.2.5.2. Also see 36 CFR Part 78)

5.3.1.2 Fire Detection, Suppression, and Post- fire Rehabilitation and Protection

- 17 The NPS will take action to prevent or minimize the impact of wildland, prescribed, and
- structural fires on cultural resources, including the impact of suppression and rehabilitation
- 19 activities.
- 20 In the preservation of historic structures and museum and library collections, every attempt will
- be made to comply with national building and fire codes. When these cannot be met without
- 22 significantly impairing a structure's integrity and character, the management and use of the
- 23 structure will be modified to minimize potential hazards, rather than modifying the structure
- 24 itself.
- 25 Subject to the previous paragraph, when warranted by the significance of a historic structure or a
- 26 museum or library collection, adequate and appropriate fire detection, warning, and suppression
- 27 systems will be installed. "Pre-fire plans" will be developed for historic structures and buildings
- housing museum or library collections, designed to identify the floor plan, utilities, hazards, and
- areas and objects requiring special protection. This information will be kept current and made
- available to local and park fire personnel.
- 31 Park and local fire personnel will be advised of the locations and characteristics of cultural
- resources threatened by fire, and of any priorities for protecting them during any planned or
- 33 unplanned fire incident. At parks with cultural resources, park fire personnel will receive cultural
- resource protection training. At parks that have wildland or structural fire risks and programs,

- 1 cultural resource management specialists will receive fire prevention and emergency response
- 2 training. Cultural resources management specialists who assist with wildland fire programs will
- 3 be certified for incident management positions commensurate with their individual
- 4 responsibilities.
- 5 Smoking will not be permitted in spaces housing museum or library collections, or in historic
- 6 structures (except those used as residences in which smoking is permitted by the park
- 7 superintendent).
- 8 (See Fire Management 4.5; Fire Management 6.3.9; Structural Fire Protection and Suppression
- 9 9.1.8. Also see Director's Order #18: Wildland Fire Management; Director's Order #58, and
- 10 Reference Manual 58: Structural Fires)

11 **5.3.1.3** Compensation for Damages

- 12 The National Park System Resource Protection Act authorizes the Park Service to take all
- 13 necessary and appropriate steps to recover costs and damages from any person who destroys,
- causes the loss of, or injures any resource of the national park system. When such incidents
- involve cultural resources, the Service will:
- Prevent or minimize the destruction or loss of, or injury to, the cultural resource, or abate or minimize the imminent risk of such destruction, loss, or injury;
- Assess and monitor damage to the cultural resource;
- Recover any and all costs associated with the restoration or replacement of the cultural resource, or with the acquisition of an equivalent resource;
- Recover the value of any significant loss of use of the cultural resource pending its
- restoration or replacement or the acquisition of an equivalent, or the value of the cultural
- resource in the event it cannot be restored or replaced; and
- Recover any and all costs incurred in responding to, assessing, and/ or monitoring damage to the cultural resource.

26 (See Compensation for Damages 4.1.6)

27 5.3.1.4 Environmental Monitoring and Control

- When necessary to preserve a historic structure or a museum collection, appropriate measures
- 29 will be taken to control relative humidity, temperature, light, and air quality. When museum
- 30 collections are housed in a historic structure, the needs of both the collection and the structure
- 31 will be identified and evaluated, weighing relative rarity and significance, before environmental
- 32 control measures are introduced. The environmental conditions of all areas housing museum
- collections will be regularly monitored, according to a schedule specific to each condition, to
- 34 determine whether appropriate levels of relative humidity, temperature, and light are being
- 35 maintained.
- 36 (See Air Quality 4.7.1. Also see Director's Order #24: Museum Management)

1 5.3.1.5 Pest Management

- 2 The Park Service will follow an integrated pest management approach in addressing pest
- 3 problems (including invasive vegetation) related to cultural resources. Pest occurrences will be
- 4 dealt with on a case-by-case basis. Available pest management methods, as described in
- 5 Director's Order #77-7, will be reviewed to determine the most effective and lowest risk
- 6 management strategy.
- 7 (See Pest Management 4.4.5)

8 5.3.1.6 Visitor Carrying Capacity

- 9 Superintendents will set, enforce, and monitor carrying capacities to limit public visitation to, or
- use of, cultural resources that would be subject to adverse effects from unrestricted levels of
- visitation or use. This will include (1) reviewing the park's purpose; (2) analyzing existing
- visitor use of, and related impacts to, the park's cultural resources and traditional resource users;
- 13 (3) prescribing indicators and specific standards for acceptable and sustainable visitor use; and
- 14 (4) identifying ways to address and monitor unacceptable impacts resulting from overuse.
- 15 Studies to gather basic data and make recommendations on setting, enforcing, and monitoring
- carrying capacities for cultural resources will be conducted in collaboration with cultural
- 17 resource specialists representing the appropriate disciplines.
- 18 (See Visitor Carrying Capacity 8.2.1)

19 **5.3.1.7 Cultural Soundscape Management**

- 20 Culturally appropriate sounds are important elements of the national park experience in many
- 21 parks. The Service will preserve soundscape resources and values of parks to the greatest extent
- 22 possible to protect opportunities for appropriate transmission of cultural and historic sounds that
- are fundamental components of the purposes and values for which the parks were established.
- 24 Examples of appropriate cultural and historic sounds include native drumming (at Yosemite
- National Park, for example), music (at New Orleans Jazz National Historical Park, for example),
- and bands, marching, cannon fire, or other military demonstrations at some national battlefield
- 27 parks. The Service will prevent inappropriate or excessive types and levels of sound (noise)
- from unacceptably impacting the ability of the soundscape to transmit the cultural and historic
- resource sounds associated with park purposes.
- 30 (See Soundscape Management 4.9; Recreational Activities 8.2.2. Also see 36 CFR 2.12: Audio
- 31 *Disturbances*)

32 5.3.2 Physical Access for Persons with Disabilities

- 33 The National Park Service will provide persons with disabilities the highest feasible level of
- 34 physical access to historic properties that is reasonable, consistent with the preservation of each
- 35 property's significant historical features. Access modifications for persons with disabilities will
- 36 be designed and installed to least affect the features of a property that contribute to its

- significance. Modifications to some features may be acceptable in providing access, once a
- 2 review of options for the highest level of access has been completed. However, if it is determined
- 3 that modification of particular features would impair a property's integrity and character in terms
- 4 of the Advisory Council's regulations at 36 CFR 800.9, such modifications will not be made. To
- 5 the extent possible, modifications for access will benefit the greatest number of visitors, staff,
- and the public, and be integrated with, or in proximity to, the primary path of travel for entrances
- 7 and from parking areas. In situations where access modifications cannot be made, alternative
- 8 methods of achieving program access will be adopted.
- 9 (See Access to Interpretive and Educational Opportunities 7.5.1; Accessibility for Persons with
- 10 Disabilities 8.2.4; Accessibility for Persons with Disabilities 9.1.2; Accessibility of Commercial
- 11 Services 10.2.6.2. Also see Director's Order #42: Accessibility for Visitors with Disabilities)

12 **5.3.3** Historic Property Leases and Cooperative Agreements

- 13 The National Park Service may lease or permit the use of a historic property through a lease or
- cooperative agreement, if such lease or cooperative agreement will ensure the property's
- preservation. Proposed uses must not unduly limit public appreciation of the property; interfere
- with visitor use and enjoyment of the park; or preclude use of the property for park
- administration, employee residences, or other management purposes judged more appropriate or
- 18 cost effective.
- 19 If a lease or cooperative agreement requires or allows the lessee or cooperator to maintain,
- 20 repair, rehabilitate, restore, or build upon the property, it will require the work be done in
- 21 accordance with applicable Secretary of the Interior's standards and guidelines and other NPS
- 22 policies, guidelines, and standards.
- 23 (See Leases 8.12. Also see Director's Order #38: Real Property Leasing; NHPA [16 USC 470h-
- 24 3]; 16 USC 460l-22(a); Omnibus Consolidated Appropriations Act, 1997 [16 USC 1g]; 36 CFR
- 25 *Part 18)*

26 5.3.4 Stewardship of Human Remains and Burials

- 27 Marked and unmarked prehistoric and historic burial areas and graves will be identified,
- evaluated, and protected. Every effort will be made to avoid impacting burial areas and graves
- 29 when planning park development and managing park operations. Such burial areas and graves
- will not knowingly be disturbed or archeologically investigated unless threatened with
- 31 destruction.
- 32 The Service will consult with American Indian tribes, Alaska Natives, Native Hawaiians, and
- other Native American groups, other individuals and groups linked by demonstrable ties of
- 34 kinship or culture to potentially identifiable human remains when such remains may be disturbed
- or are inadvertently encountered on park lands. Re-interment at the same park may be permitted,
- and may include remains that may have been removed from lands now within the park.

- 1 Native American, Alaska Native, and Native Hawaiian human remains and photographs of such
- 2 remains will not be exhibited. Drawings, renderings, or casts of such remains may be exhibited
- 3 with the consent of culturally affiliated Indian tribes and Native Hawaiian organizations. The
- 4 exhibit of non-Native American human remains, or photographs, drawings, renderings, or casts
- of such remains, is allowed in consultation with traditionally associated peoples. The Service
- 6 may allow access to, and study, publication, and destructive analysis of, human remains, but
- 7 must consult with traditionally associated peoples and consider their opinions and concerns
- 8 before making decisions on appropriate actions. In addition, such use of human remains will
- 9 occur only with an approved research proposal that describes why the information cannot be
- obtained through other sources or analysis, and why the research is important to the field of
- 11 study and the general public.
- 12 (See Cultural Resources 6.3.8; Consultation 7.5.5; Cemeteries and Burials 8.6.10. Also see
- 13 ARPA; NAGPRA; 36 CFR Part 79; 43 CFR Part 10)

14 5.3.5 Treatment of Cultural Resources

- 15 The Park Service will provide for the long- term preservation of, public access to, and
- appreciation of, the features, materials, and qualities contributing to the significance of cultural
- 17 resources. With some differences by type, cultural resources are subject to several basic
- treatments, including (1) preservation in their existing states; (2) rehabilitation to serve
- 19 contemporary uses, consistent with their integrity and character; and (3) restoration to earlier
- appearances by the removal of later additions and replacement of missing elements. Decisions
- 21 regarding which treatments will best ensure the preservation and public enjoyment of particular
- 22 cultural resources will be reached through the planning and compliance process, taking into
- 23 account:
- The nature and significance of a resource, and its condition and interpretive value;
- The research potential of the resource;
- The level of intervention required by treatment alternatives:
- The availability of data, and the terms of any binding restrictions; and
- The concerns of traditionally associated peoples and other stakeholders.
- 29 Except for emergencies that threaten irreparable loss without immediate action, no treatment
- 30 project will be undertaken unless supported by an approved planning document appropriate to
- 31 the proposed action.
- 32 The preservation of cultural resources in their existing states will always receive first
- consideration. Treatments entailing greater intervention will not proceed without the
- 34 consideration of interpretive alternatives. The appearance and condition of resources before
- 35 treatment, and changes made during treatment, will be documented. Such documentation will be
- 36 shared with any appropriate state or tribal historic preservation office or certified local
- 37 government, and added to the park museum cataloging system. Pending treatment decisions
- 38 reached through the planning process, all resources will be protected and preserved in their
- 39 existing states.

- 1 As a basic principle, anything of historical appearance that the National Park Service presents to
- 2 the public in a park will be either an authentic survival from the past, or an accurate
- 3 representation of that once existing there. Reconstructions and reproductions will be clearly
- 4 identified as such.
- 5 The Service will holistically approach the treatment of related cultural resources in a park. All
- 6 cultural resource and natural resource values will be considered in defining specific treatment
- 7 and management goals. Research will be coordinated and sequenced so that decisions are not
- 8 made in isolation. Each proposed action will be evaluated to ensure consistency or compatibility
- 9 in the overall treatment of park resources. The relative importance and relationship of all values
- will be weighed to identify potential conflicts between and among resource preservation goals,
- park management and operation goals, and park user goals. Conflicts will be considered and
- 12 resolved through the planning process, which will include any consultation required by 16 USC
- 13 470f.
- 14 Although each resource type is most closely associated with a particular discipline, an
- interdisciplinary approach is commonly needed to properly define specific treatment and
- management goals for cultural resources. Policies applicable to the various resource types
- 17 follow.
- 18 (See Park Management 1.4; Levels of Park Planning 2.3; Planning 5.2; Cultural Resources
- 19 *6.3.8. Also see NEPA; Secretary of the Interior's Standards for the Treatment of Historic*
- 20 *Properties*)

21 5.3.5.1 Archeological Resources

- 22 Archeological resources will be managed in situ, unless the removal of artifacts or physical
- disturbance is justified by research, consultation, preservation, protection, or interpretive
- 24 requirements. Preservation treatments will include proactive measures that protect resources
- 25 from vandalism and looting, and maintain or improve their condition by limiting damage due to
- 26 natural and human agents. Data recovery actions will be taken only in the context of planning,
- 27 consultation, and appropriate decision-making. Preservation treatments and data recovery
- 28 activities will be conducted within the scope of an approved research design. Archeological
- 29 research will use non-destructive methods of testing and analysis wherever possible. The Park
- 30 Service will incorporate information about archeological resources into interpretive and
- 31 educational, and preservation, programs. Artifacts and specimens recovered from archeological
- resources, along with associated records and reports, will be maintained together in the park
- 33 museum collection.
- 34 (Also see Director's Order #28A: Archeology; 36 CFR Part 79; Secretary of the Interior's
- 35 Standards and Guidelines for Archeological Documentation [48 FR 44734-737]; Museum
- 36 *Handbook*)

5.3.5.1.1 Preservation

- 1 Archeological resources will be maintained and preserved in a stable condition to prevent
- 2 degradation and loss. The condition of archeological resources will be documented, regularly
- 3 monitored, and evaluated against initial baseline data. Parks are encouraged to enlist concerned
- 4 local citizens in site stewardship programs to patrol and monitor the condition of archeological
- 5 resources. The preservation of archeological components of cultural landscapes, structures, and
- 6 ruins are also subject to the treatment policies for cultural landscapes, historic and prehistoric
- 7 structures, and historic and prehistoric ruins.
- 8 (See Volunteers in Parks 1.9.1.6)

9 5.3.5.1.2 Stabilization

- Archeological resources subject to erosion, slumping, subsidence, or other natural deterioration
- will be stabilized using the least intrusive and destructive methods. The methods used will
- 12 protect natural resources and processes to the maximum extent feasible. Stabilization will occur
- only after sufficient research demonstrates the likely success of the proposed stabilizing action,
- and after existing conditions are documented.

15 5.3.5.1.3 Rehabilitation, Restoration, and Reconstruction

- 16 These terms are normally related to the treatment of historic structures and cultural landscapes.
- 17 The Park Service will not normally undertake the rehabilitation, restoration, or reconstruction of
- archeological resources or features. Archeological studies undertaken in conjunction with the
- rehabilitation or restoration of cultural landscapes, structures, or ruins, or with the reconstruction
- of obliterated cultural landscapes or missing structures, will be guided by the treatment policies
- 21 for archeological resources, as well as those for the other associated resource types.

22 **5.3.5.1.4 Protection**

- 23 Archeological resources will be protected against human agents of destruction and deterioration
- 24 whenever practicable. Archeological resources subject to vandalism and looting will be
- 25 periodically monitored, and, if appropriate, fencing, warning signs, remote-sensing alarms, and
- other protective measures will be installed. Training and public education programs will be
- developed to make park staff and the public aware of the value of the park's archeological
- 28 resources, and the penalties for destroying them. For public safety reasons, local citizens who are
- 29 monitoring resources under site stewardship programs will be instructed to report incidents of
- 30 vandalism and looting to law enforcement personnel for response.
- 31 (See Volunteers in Parks 7.6.1; Shared Responsibilities 8.3.3)

32 5.3.5.1.5 Archeological Data Recovery

- Archeological data recovery is permitted if justified by research or interpretation needs.
- 34 Significant archeological data that would otherwise be lost as a result of resource treatment
- projects or uncontrollable degradation or destruction will be recovered in accordance with

- 1 appropriate research proposals and preserved in park museum collections. Data will be recovered
- 2 to mitigate the loss of significant archeological data due to park development, but only after:
- The redesign, relocation, and cancellation of the proposed development have all been considered and ruled out as infeasible through the planning process;
- 5 The park development has been approved; and
- The project has provided for data recovery, cataloging, and the initial preservation of
- 7 recovered collections.
- 8 (See Planning 5.2)

9 **5.3.5.1.6** Earthworks

- 10 Appropriate—and, when feasible, native—vegetation will be maintained when necessary to
- prevent the erosion of prehistoric and historic earthworks, even when the historic condition
- might have been bare earth. Because earthwork restorations and reconstructions can obliterate
- surviving remains and are often difficult to maintain, other means of representing and
- interpreting the original earthworks will receive first consideration.
- 15 (See Management of Native Plants and Animals 4.4.2; Management of Exotic Species 4.4.4)

16 5.3.5.1.7 Submerged Cultural Resources

- 17 Historic shipwrecks and other submerged cultural resources will be protected, to the extent
- 18 permitted by law, in the same manner as terrestrial archeological resources. Protection activities
- involve inventory, evaluation, monitoring, interpretation, and establishing partner ships to
- 20 provide for the management of historic shipwrecks and other submerged cultural resources in
- 21 units of the national park system. The Service will not allow treasure hunting or commercial
- 22 salvage activities at or around historic shipwrecks or other submerged cultural resources located
- 23 within park boundaries unless legally obligated to do so. Parks may provide recreational diving
- 24 access to submerged cultural resources that are not susceptible to damage or the removal of
- 25 artifacts. The Service will ensure that the activities of others in park waters do not adversely
- 26 affect submerged cultural resources or the surrounding natural environment. The Service will
- 27 consult with the owners of non- abandoned historic shipwrecks, and enter into written
- agreements with them to clarify how the shipwrecks will be managed by the NPS. Shipwrecks
- 29 owned by a state government pursuant to the Abandoned Shipwreck Act of 1987 will be
- managed in accordance with the Abandoned Shipwreck Act Guidelines (55 FR 50116-145, 55
- 31 FR 51528, and 56 FR 7875).
- 32 (See Recreational Activities 8.2.2. Also see 36 CFR Part 2; 485 DM 27; Director's Order #4:
- 33 Diving Management)

34 **5.3.5.2 Cultural Landscapes**

- 35 The treatment of a cultural landscape will preserve significant physical attributes, biotic systems,
- and uses when those uses contribute to historical significance. Treatment decisions will be based

- on a cultural landscape's historical significance over time, existing conditions, and use.
- 2 Treatment decisions will consider both the natural and built characteristics and features of a
- 3 landscape, the dynamics inherent in natural processes and continued use, and the concerns of
- 4 traditionally associated peoples.
- 5 The treatment implemented will be based on sound preservation practices to enable long-term
- 6 preservation of a resource's historic features, qualities, and materials. There are three types of
- 7 treatment for extant cultural landscapes: preservation, rehabilitation, and restoration.
- 8 (See Decision-making to Identify and Avoid Impairments 1.4.7. Also see Secretary of the
- 9 Interior's Standards for the Treatment of Historic Properties with Guidelines for the Treatment
- 10 of Cultural Landscapes)

11 **5.3.5.2.1** Preservation

- 12 A cultural landscape will be preserved in its present condition if:
- That condition allows for satisfactory protection, maintenance, use, and interpretation; or
- Another treatment is warranted but cannot be accomplished until some future time.

15 **5.3.5.2.2 Rehabilitation**

- 16 A cultural landscape may be rehabilitated for contemporary use if:
- It cannot adequately serve an appropriate use in its present condition; and
- Rehabilitation will retain its essential features, and will not alter its integrity and character or
- conflict with approved park management objectives.

20 **5.3.5.2.3** Restoration

- A cultural landscape may be restored to an earlier appearance if:
- All changes after the proposed restoration period have been professionally evaluated, and the significance of those changes has been fully considered;
- Restoration is essential to public understanding of the park's cultural associations;
- Sufficient data about that landscape's earlier appearance exist to enable its accurate
- restoration; and
- The disturbance or loss of significant archeological resources is minimized and mitigated by
- data recovery.

29 5.3.5.2.4 Reconstruction of Obliterated Landscapes

- 30 No matter how well conceived or executed, reconstructions are contemporary interpretations of
- 31 the past, rather than authentic survivals from it. The National Park Service will not reconstruct an
- 32 obliterated cultural landscape unless:

- There is no alternative that would accomplish the park's interpretive mission;
- Sufficient data exist to enable its accurate reconstruction, based on the duplication of historic
- features substantiated by documentary or physical evidence, rather than on conjectural
- 4 designs or features from other landscapes;
- 5 Reconstruction will occur in the original location;
- The disturbance or loss of significant archeological resources is minimized and mitigated by
- 7 data recovery; and
- 8 Reconstruction is approved by the Director.
- 9 A landscape will not be reconstructed to appear damaged or ruined. General representations of
- 10 typical landscapes will not be attempted.

11 5.3.5.2.5 Biotic Cultural Resources

- 12 Biotic cultural resources, which include plant and animal communities associated with the
- significance of a cultural landscape, will be duly considered in treatment and management. The
- cultural resource and natural resource components of the park's resource stewardship strategy
- will jointly identify acceptable plans for the management and treatment of biotic cultural
- 16 resources. The park's resource stewardship strategy will anticipate and plan for the natural and
- 17 human-induced processes of change. The degree to which change contributes to or compromises
- the historic character of a cultural landscape, and the way in which natural cycles influence the
- ecological processes within a landscape, will both be understood before any major treatment is
- 20 undertaken. Treatment and management of a cultural landscape will establish acceptable
- 21 parameters for change, and manage the biotic resources within those parameters.
- 22 (See Maintenance of Altered Plant Communities 4.4.2.5)

23 5.3.5.2.6 Land Use and Ethnographic Value

- 24 Many cultural landscapes are significant because of their historic land use and practices. When
- land use is a primary reason for the significance of a landscape, the objective of treatment will be
- 26 to balance the perpetuation of use with the retention of the tangible evidence that represents its
- 27 history. The variety and arrangement of cultural and natural features in a landscape often have
- 28 sacred or other continuing importance in the ethnic histories and cultural vigor of associated
- 29 peoples. These features and their past and present-day uses will be identified, and the beliefs,
- attitudes, practices, traditions, and values of traditionally associated peoples will be considered in
- 31 any treatment decisions.
- 32 Contemporary use of a cultural landscape is appropriate if it:
- Does not adversely affect significant landscape characteristics and features; and
- Either follows the historic use or does not impede public appreciation of it.
- 35 All uses of cultural landscapes are subject to legal requirements, policy, guidelines, and
- 36 standards for natural and cultural resource preservation, public safety, and special park uses.

5.3.5.2.7 New Construction

- 2 Contemporary alterations and additions to a cultural landscape must not radically change,
- 3 obscure, or destroy its significant spatial organization, materials, and features. New buildings,
- 4 structures, landscape features, and utilities may be constructed in a cultural landscape if:
- 5 Existing structures and improvements do not meet essential management needs;
- New construction is designed and sited to preserve the landscape's integrity and historic character; and
- Unless associated with an approved restoration or reconstruction, the alterations, additions, or
 related new construction is differentiated from, yet compatible with, the landscape's historic
- 10 character.
- 11 New additions will meet the Secretary of the Interior's Standards for Rehabilitation.

12 **5.3.5.3 Ethnographic Resources**

- Park ethnographic resources are the cultural and natural features of a park that are of traditional
- significance to traditionally associated peoples. These peoples are the contemporary park
- 15 neighbors and ethnic or occupational communities that have been associated with a park for two
- or more generations (40 years), and whose interests in the park's resources began prior to the
- park's establishment. Living peoples of many cultural backgrounds—American Indians, Inuit
- 18 (Eskimos), Native Hawaiians, African Americans, Hispanics, Chinese Americans, Euro-
- 19 Americans, and farmers, ranchers, and fishermen—may have a traditional association with a
- 20 particular park.
- 21 Traditionally associated peoples generally differ as a group from other park visitors in that they
- 22 typically assign significance to ethnographic resources—places closely linked with their own
- sense of purpose, existence as a community, and development as ethnically distinctive peoples.
- 24 These places may be in urban or rural parks, and may support ceremonial activities or represent
- 25 birthplaces of significant individuals, group origin sites, migration routes, or harvesting or
- 26 collecting places. While these places have historic attributes that are of great importance to the
- 27 group, they may not necessarily have a direct association with the reason the park was
- established, or be appropriate as a topic of general public interest. Some ethnographic resources
- 29 might also be traditional cultural properties. A traditional cultural property is one that is eligible
- 30 for inclusion in the National Register of Historic Places because of its association with cultural
- practices or beliefs of a living community that are (1) rooted in that community's history, and (2)
- important in maintaining the continuing cultural identity of the community.
- 33 The Service's primary interest in these places stems from its responsibilities under
- The NPS Organic Act—to conserve the natural and historic objects within parks unimpaired for the enjoyment of future generations;
- The National Historic Preservation Act (NHPA)—to preserve, conserve, and encourage the
- 37 continuation of the diverse traditional prehistoric, historic, ethnic, and folk cultural traditions
- that underlie and are a living expression of our American heritage;

- The American Indian Religious Freedom Act (AIRFA)—to protect and preserve for
 American Indians access to sites, use and possession of sacred objects, and the freedom to
 worship through ceremonials and traditional rites;
 - The Archeological Resources Protection Act (ARPA)—to secure, for the present and future benefit of the American people, the protection of archeological resources and sites which are on public lands and Indian Lands; and
- The National Environmental Policy Act (NEPA)—to preserve important historic, cultural, and natural aspects of our national heritage; and
- Executive Order 13007—to (1) accommodate access to and ceremonial use of Indian sacred sites by Indian religious practitioners and (2) avoid adversely affecting the physical integrity of such sacred sites.
- 12 The Service must therefore be respectful of these ethnographic resources, and carefully consider
- 13 the effects that NPS actions may have on them. When religious issues are evident, the Service
- must also consider constraints imposed on federal agency actions by the first and fourteenth
- amendments to the U. S. Constitution.
- 16 The National Park Service will adopt a comprehensive approach that considers parks and
- traditionally associated and other peoples as interrelated members of an ecosystem. As an aid to
- appreciating the diverse human heritage and associated resources that characterize the national
- 19 park system, the Service will identify the present-day peoples whose cultural practices and
- 20 identities were, and often still are, closely associated with each park's cultural and natural
- 21 resources.

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- 22 ANILCA recognizes the importance of maintaining Alaska Native and non-Native subsistence
- 23 lifestyles, and contains provisions that authorize activities by the NPS to assist Alaska Natives in
- 24 the preservation of cultural resources. For many rural Alaskans, the land and the way of life are
- 25 inseparable. The Service will explore opportunities in Alaska to forge a mutually beneficial
- relationship between Alaska Natives, rural Alaskans, and the NPS. In Alaska and elsewhere, the
- 27 Service will try to strengthen the ability of traditional and indigenous peoples to perpetuate their
- culture and to enrich the parks with traditional knowledge and a deeper sense of place.
- 29 Ethnographic information will be collected through collaborative research that recognizes the
- 30 sensitive nature of such information. Cultural anthropologists/ethnographers will document the
- 31 meanings that traditionally associated groups assign to traditional natural and cultural resources
- 32 and the landscapes they form. The park's ethnography file will include this information, as well
- as data on the traditional management practices and knowledge systems that affect resource uses,
- and the short- and long-term effects of use on the resources.
- 35 (See Confidentiality 5.2.3. Also see Director's Order #28B: Ethnography Program)
- 36 5.3.5.3.1 Resource Access and Use
- 37 Consistent with the requirements of the Organic Act, NHPA, AIRFA, ARPA, NEPA, and
- 38 Executive Order 13007 cited in section 5.3.5.3 above, the Service will strive to allow American
- 39 Indians and other traditionally associated peoples access to, and use of, ethnographic resources.

- 1 Continued access to and use of ethnographic resources is often essential to the survival of family,
- 2 community, or regional cultural systems, including patterns of belief and sociocultural and
- 3 religious life. However, the Service may not allow access and use if it would violate the criteria
- 4 listed in section 8.1.
- 5 The Service generally supports traditional access and use, and is considering policy and
- 6 regulatory revisions that will clarify when reasonable accommodations can be made under NPS
- 7 authorities to allow greater access and use. Park superintendents may reasonably control the
- 8 times when, and the places where, specific groups may have exclusive access to particular areas
- 9 of a park.
- With regard to consumptive use of park resources, current NPS policy is reflected in regulations
- published at 36 CFR 2.1. These regulations allow superintendents to designate certain fruits,
- berries, nuts, or unoccupied seashells that may be gathered by hand for personal use or
- 13 consumption if it will not adversely affect park wildlife or the reproductive potential of a plant
- species, or otherwise adversely affect park resources. The regulations do not authorize the taking,
- use, or possession of fish, wildlife, or plants for ceremonial or religious purposes, except where
- specifically authorized by Federal statute or treaty rights, or where hunting, trapping, or fishing
- are otherwise allowed. These regulations are currently under review, and NPS policy is evolving
- in this area.
- 19 Regulations addressing traditional subsistence uses that are authorized in Alaska by ANILCA are
- 20 published at 36 CFR Part 13. Some park-specific enabling acts (for example, Big Cypress
- 21 National Preserve and Kaloko-Honokohau National Historical Park) allow subsistence or other
- traditional uses of park resources.
- 23 (See Native American Use 8.5; Special Park Uses 8.6; Collecting Natural Products 8.8;
- 24 Consumptive Uses 8.9)

25 **5.3.5.3.2** Sacred Sites

- 26 The National Park Service acknowledges that American Indian tribes, including Native
- Alaskans, treat specific places containing certain natural and cultural resources as sacred places
- having established religious meaning, and as locales of private ceremonial activities. Consistent
- 29 with Executive Order 13007, the Service will, to the extent practicable, accommodate access to
- and ceremonial use of Indian sacred sites by religious practitioners from recognized American
- Indian and Alaskan Native tribes, and avoid adversely affecting the physical integrity of such
- 32 sacred sites.
- In consultation with the appropriate groups, the Service will develop a record about such places,
- 34 and identify any treatments preferred by the groups. This information will alert superintendents
- and planners to the potential presence of sensitive areas, and will be kept confidential to the
- extent permitted by law. The Service will collaborate with affected groups to prepare mutually
- 37 agreeable strategies for providing access to ordinarily gated or otherwise-inaccessible locales,
- and for enhancing the likelihood of privacy during religious ceremonies. Any strategies that are
- developed must comply with constitutional and other legal requirements. To the extent feasible

- and allowable by law, accommodations will also be made for access to, and the use of, sacred
- 2 places when interest is expressed by other traditionally associated peoples, especially Native
- 3 Hawaiians and other Pacific islanders, and by American Indian peoples and others who often
- 4 have a long-standing connection and identity with a particular park or resource.
- 5 Various ethnic groups, local groups with recently developed ties to resources in neighboring
- 6 parks, and visitors to family and national cemeteries and national memorials also might use park
- 7 resources for traditional or individual religious ceremonies. Mutually acceptable agreements may
- 8 be negotiated with known groups to provide access to, and the use of, such places, consistent
- 9 with constitutional and other legal constraints.
- 10 (See Confidentiality 5.2.3; Resource Access and Use 5.3.5.3.1; Native American Use 8.5; First
- 11 Amendment Activities 8.6.3. Also see Director's Orders #66: The Freedom of Information Act
- and Protected Resource Information, and #71B: Sacred Sites; NHPA [16 USC 470w-3];
- 13 Executive Order 13007; 512 DM 3)

14 **5.3.5.3.3** Research

- 15 The Park Service will maintain a program of professional cultural anthropological/ethnographic
- 16 research, designed to provide NPS managers with information about relationships between park
- 17 resources and associated peoples. Research will be undertaken in cooperation with associated
- peoples in an interdisciplinary manner whenever reasonable, especially in studies of natural
- 19 resource use and ethnographic landscapes. Research findings will be used to inform planning,
- 20 cultural and natural resource management decision-making, and interpretation, as well as to help
- 21 managers meet responsibilities to associated peoples and other stakeholders in the outcomes of
- 22 NPS decisions.
- 23 Collaborative research dealing with recent or contemporary cultural systems and the resources of
- park-associated peoples will involve the groups in the design and implementation of the research
- and the review of research findings to the fullest possible extent. The Service will provide
- 26 individuals or groups involved with, or directly affected by, the research with copies or
- summaries of the reports, as appropriate.
- 28 (See Levels of Park Planning 2.3; Studies and Collections 4.2; Consultation 7.5.5; Native
- 29 American Use 8.5. Also see Secretary of the Interior's Standards for the Treatment of Historic
- 30 Properties with Guidelines for the Treatment of Cultural Landscapes)

31 5.3.5.4 Historic and Prehistoric Structures

- 32 The treatment of historic and prehistoric structures will be based on sound preservation practice
- to enable the long-term preservation of a structure's historic features, materials, and qualities.
- 34 There are three types of treatment for extant structures: preservation, rehabilitation, and
- 35 restoration.
- 36 (Also see Secretary of the Interior's Standards for the Treatment of Historic Properties)

1 5.3.5.4.1 Preservation

- 2 A structure will be preserved in its present condition if:
- That condition allows for satisfactory protection, maintenance, use, and interpretation; or
- Another treatment is warranted but cannot be accomplished until some future time.

5.3.5.4.2 Rehabilitation

- 6 A historic structure may be rehabilitated (rehabilitation does not apply to prehistoric structures)
- 7 for contemporary use if:
- 8 It cannot adequately serve an appropriate use in its present condition; and
- Rehabilitation will retain its essential features and will not alter its integrity and character or conflict with approved park management objectives.

11 **5.3.5.4.3** Restoration

- 12 A structure may be restored to an earlier appearance if:
- All changes after the proposed restoration period have been professionally evaluated, and the significance of those changes has been fully considered;
- Restoration is essential to public understanding of the park's cultural associations;
- Sufficient data about that structure's earlier appearance exist to enable its accurate restoration; and
- The disturbance or loss of significant archeological resources is minimized and mitigated by data recovery.

20 5.3.5.4.4 Reconstruction of Missing Structures

- No matter how well conceived or executed, reconstructions are contemporary interpretations of
- 22 the past rather than authentic survivals from it. The National Park Service will not reconstruct a
- 23 missing structure unless:
- There is no alternative that would accomplish the park's interpretive mission;
- Sufficient data exist to enable its accurate reconstruction based on the duplication of historic
- features substantiated by documentary or physical evidence, rather than on conjectural
- designs or features from other structures;
- Reconstruction will occur in the original location
- The disturbance or loss of significant archeological resources is minimized and mitigated by
- data recovery; and
- Reconstruction is approved by the Director.
- 32 A structure will not be reconstructed to appear damaged or ruined. Generalized representations
- of typical structures will not be attempted.

- 1 (See Environmental Monitoring and Control 5.3.1.4; Physical Access for Persons with
- 2 Disabilities 5.3.2; Historic and Prehistoric Ruins 5.3.5.4.10)

5.3.5.4.5 Movement of Historic Structures

- 4 Proposals for moving historic structures will consider the effects of movement on the structures,
- 5 their present environments, their proposed environments, and the archeological research value of
- 6 the structures and their sites. No historic structure will be moved if its preservation would be
- 7 adversely affected, or until the appropriate recovery of significant archeological data has
- 8 occurred. Prehistoric structures will not be moved.
- 9 A nationally significant historic structure may be moved only if:
- It cannot practically be preserved on its present site; or
- The move constitutes a return to a previous historic location, and the previous move and
- present location are not important to the structure's significance.
- 13 A historic structure of less-than-national significance may be moved if:
- It cannot practically be preserved on its present site; or
- Its present location is not important to its significance, and its relocation is essential to public
- understanding of the park's cultural associations.
- 17 In moving a historic structure, every effort will be made to reestablish its historic orientation,
- immediate setting, and general relationship to its environment.
- 19 The Park Service will not acquire historic structures for relocation to parks, unless those
- structures were removed from the park and are necessary to achieve the park purpose or
- 21 authorized by legislation.

5.3.5.4.6 New Construction

- 23 In preference to new construction, every reasonable consideration will be given to using historic
- structures for park purposes compatible with their preservation and public appreciation.
- 25 Additions may be made to historic structures when essential to their continued use, and when
- 26 new construction will not destroy historic materials, features, and spatial relationships that
- characterize the structure. Structural additions will harmonize in size, scale, proportion, and
- 28 materials with, but be readily distinguishable from, the older work, and will not intrude upon the
- 29 historic scene. New additions will meet the Secretary of the Interior's Standards for
- 30 Rehabilitation.
- In those areas of parks managed for the preservation, protection, and interpretation of cultural
- 32 resources and their settings, new structures, landscape features, and utilities will be constructed
- 33 only if:
- Existing structures and improvements do not meet essential park management needs; and

- New construction is designed and sited to preserve the integrity and character of the area.
- 2 Unless associated with an approved restoration or reconstruction, all alterations, additions, or
- 3 related new construction will be differentiated from, yet compatible with, the historic character
- 4 of the structure.
- 5 (See Rehabilitation 5.3.5.4.2; Use of Historic Structures 5.3.5.4.7; Adaptive Use 9.1.1.4. Also see
- 6 Executive Order 13006; NHPA)

5.3.5.4.7 Use of Historic Structures

- 8 NHPA (16 USC 470h-2(a)(1)) and Executive Order 13006 require each federal agency—prior to
- 9 acquiring, constructing, or leasing buildings—to use, to the maximum extent feasible, historic
- properties available to it, whenever operationally appropriate and economically prudent. NHPA
- also requires each agency to implement alternatives for the adaptive use of historic properties it
- owns, if that will help ensure the properties' preservation. Therefore, compatible uses for
- structures will be found whenever possible. This policy will help prevent the accelerated
- 14 deterioration of historic structures due to neglect and vandalism. Unused significant historic
- structures should be stabilized and protected through appropriate measures, such as
- 16 "mothballing," until long-term decisions are made through the planning process.
- All uses of historic structures are subject to preservation and public safety requirements. No
- administrative or public use will be permitted that would threaten the stability or character of a
- structure, the museum objects within it, or the safety of its users, or that would entail alterations
- 20 significantly compromising its integrity.
- 21 (See Fire Detection, Suppression, and Post-fire Rehabilitation and Protection 5.3.1.2; Physical
- Access for Persons with Disabilities 5.3.2; Adaptive Use 9.1.1.4; Energy Management 9.1.7;
- 23 Historic Structures 9.4.3.3)

5.3.5.4.8 Park Structures Owned or Managed by Others

- 25 Structures and related property owned or managed by others will be managed in accordance with
- NPS policies, guidelines, and standards to the extent permitted by the Service's interest. This
- 27 includes structures and property owned but not occupied by the Service, and structures and
- property owned by others in which the Service has a less-than-fee interest or plays a major
- 29 management or preservation role. Interests acquired or retained by the Service will enable the
- 30 application of this policy.
- 31 (See Land Protection Plans 3.3; Historic Property Leases and Cooperative Agreements 5.3.3;
- 32 Historic Properties 10.2.2.3)

5.3.5.4.9 Damaged or Destroyed Historic Structures

- 1 Historic structures damaged or destroyed by fire, storm, earthquake, war, or any other accident
- 2 may be preserved as ruins; be removed; or be rehabilitated, restored, or reconstructed in
- 3 accordance with these policies.

4 5.3.5.4.10 Historic and Prehistoric Ruins

- 5 The stabilization of historic and prehistoric ruins will be preceded by studies leading to the
- 6 recovery of any data that would be affected by stabilization work. Ruins and related features on
- 7 unexcavated archeological sites will be stabilized only to the extent necessary to preserve
- 8 research values or to arrest structural deterioration, recognizing that it is preferable to preserve
- 9 archeological sites *in situ* than to excavate them. Archeological ruins to be exhibited will not be
- 10 excavated until consultation has occurred with traditionally associated peoples, and adequate
- 11 provisions are made for data recovery and stabilization. Structures will not be deliberately
- reduced to ruins, and missing structures will not be reconstructed to appear damaged or ruined.

13 **5.3.5.5 Museum Collections**

- 14 The Service will collect, protect, preserve, provide access to, and use objects, specimens, and
- archival and manuscript collections (henceforth referred to collectively as "collections," or
- individually as "items") in the disciplines of archeology, ethnography, history, biology, geology,
- and paleontology, to aid understanding among park visitors, and to advance knowledge in the
- humanities and sciences. As appropriate, the Service will consult with culturally affiliated or
- 19 traditionally associated peoples before treating or reproducing items in NPS collections that are
- subject to NAGPRA.
- 21 (Also see Museum Handbook)

22 **5.3.5.5.1** Preservation

- An item in a museum collection will be preserved in its present condition through ongoing
- 24 preventive care if:
- That condition is satisfactory for exhibit or research; or
- Another treatment is warranted, but it cannot be accomplished until some future time.
- 27 An item will be stabilized if:
- Preventive measures are insufficient to reduce deterioration to a tolerable level; or
- The item is so fragile that it will be endangered under any circumstances.
- 30 Active conservation treatment (intervention) will be minimized to reduce the possibility of
- 31 compromising the item's integrity. All active treatment will be documented.

32 **5.3.5.5.2** Restoration

An item in a museum collection may be restored to an earlier appearance if:

- Restoration is required for exhibit or research purposes;
- 2 Sufficient data about that item's earlier appearance exist to enable its accurate restoration;
- 3 and
- Restoration will not modify that item's known original character.
- 5 Restoration will be accomplished using the techniques and materials that least modify the item
- and in such a manner that the materials can be removed at a later time with minimal adverse
- 7 effect. Restored areas will be distinguishable from original material, and be documented.
- 8 Restoration will take into account the possible importance of preserving signs of wear, damage,
- 9 former maintenance, and other historical and scientific evidence.

10 **5.3.5.5.3 Reproduction**

- 11 Items needed for interpretive and educational presentations will be reproduced for such use when
- the originals are (1) unavailable; or (2) would be subject to undue deterioration or loss; or (3) are
- otherwise inappropriate for exhibit. If an object is inappropriate for exhibit because of its
- religious or spiritual significance to a traditionally associated people, it will be reproduced only
- after consultation with such people.

16 5.3.5.5.4 Acquisition, Management, and Disposition

- 17 Collections and related documentation essential to achieving the purposes and objectives of
- parks will be acquired and maintained in accordance with approved scope of collection
- statements for each park. When museum objects, specimens, or archival documents become
- available and fall within a park's approved scope of collection statement, every reasonable effort
- 21 will be made to acquire them, if they can be managed and made accessible according to Service
- 22 standards.
- 23 Archeological objects systematically collected within a park, and natural history specimens
- 24 systematically collected within a park for exhibit or permanent retention, will be managed as part
- of the park's museum collection. The management and care of museum collections will be
- addressed at all appropriate levels of planning. Requisite levels of care will be established
- 27 through the interdisciplinary efforts of qualified professionals.
- 28 Museum collections will be acquired and disposed of in conformance with legal authorizations
- and current NPS procedures. The National Park Service will acquire only collections having
- 30 legal and ethical pedigrees. Each park will maintain complete and current accession records to
- establish the basis for legal custody of the collections in its possession, including intellectual
- 32 property rights when acquired. Each park will prepare museum catalog records to record basic
- property management data and other documentary information about the park's museum
- 34 collection. Collections will be inventoried in accordance with current procedures. Archeological,
- 35 cultural landscape, ethnographic, historic and prehistoric structure, historic furnishings, natural
- 36 resource, and other projects that generate collections for parks will provide for cataloging and
- initial preservation of those collections in the project budget.

- 1 The Service may cooperate with qualified entities in the management, use, and exhibition of
- 2 museum collections, and may loan items to, or borrow items from, such entities for approved
- 3 purposes. The Service may de-accession items using means authorized in the Museum Act and
- 4 NAGPRA.
- 5 Interested persons will be permitted to inspect and study NPS museum collections and records in
- 6 accordance with standards for the preservation and use of collections, and subject to laws and
- 7 policies regarding the confidentiality of resource data. At-cost copies of documents may be
- 8 provided.
- 9 (See Natural Resource Collections 4.2.3; Confidentiality 5.2.3; Fire Detection, Suppression, and
- 10 Post-fire Rehabilitation and Protection 5.3.1.2; Environmental Monitoring and Control 5.3.1.4;
- 11 Consultation 7.5.5; Special Park Uses 8.6; Museum Collections Management Facilities 9.4.2.
- 12 Also see 16 USC 18f; 43 USC 1460; 36 CFR Part 79; 43 CFR Part 10; and Museum Handbook)

13 **5.3.5.5.5 Historic Furnishings**

- When historic furnishings are present in their original arrangement in a historic structure, every
- effort will be made to preserve them as an entity. Such historic furnishings will not be moved or
- replaced unless required for their protection or repair, or unless the structure is designated for
- another use in an approved planning document. The original arrangement of historic furnishings
- will be properly documented. A structure may be refurnished in whole or in part if:
- All changes after the proposed refurnishing period have been professionally evaluated, and their significance has been fully considered;
- A planning process has demonstrated that refurnishing is essential to public understanding of the park's cultural associations; and
- Sufficient evidence of the design and placement of the structure's furnishings exists to enable its accurate refurnishing without reliance on evidence from comparable structures.
- 25 Generalized representations of typical interiors will not be attempted except in exhibit contexts
- that make their representative nature obvious. Reproductions may be used in place of historic
- furnishings, but only when photographic evidence or prototypes exist to ensure the accurate re-
- 28 creation of historic pieces.
- 29 (See Levels of Park Planning 2.3; Non-personal Services 7.3.2)

30 5.3.5.5.6 Archives and Manuscripts

- 31 Archival and manuscript collections are museum collections, and will be preserved, arranged,
- 32 cataloged, and described in finding aids. They will be maintained and used in ways that preserve
- 33 the collections and their context (provenance and original order) intact while providing
- 34 controlled access. With few legal exemptions, the Park Service will make archives and
- 35 manuscripts available to researchers. Electronic documents that are to be preserved in archival
- and manuscript collections will be migrated so that their information remains accessible.

- 1 All documentation associated with natural and cultural resource studies and other resource
- 2 management actions will be retained in the park's museum collection for use in managing park
- 3 resources over time. Parks will retain notes or copies of records significant to their administrative
- 4 histories when they periodically transfer their official records to federal record centers.
- 5 (See Managing Information 1.9.2; Confidentiality 5.2.3)